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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/780,144
	Filing Date	February 17, 2004
	First Named Inventor	Aaron Sauve
	Group Art Unit	2153
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	307917.01
<input type="checkbox"/> Sent via Express Mail Label No.:		

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Drawing(s) ( sheets)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Declaration <input type="checkbox"/> Newly Executed ( pages) <input type="checkbox"/> A copy from a prior application (37 CFR 1.63(d)) ( pages)	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Information Disclosure Statement with Form PTO/SB/08A ( pages)	<input type="checkbox"/> Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> A copy of the Notice to File Missing Parts Under 37 CFR 1.52 or 1.5	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Application Data Sheet
<b>CERTIFICATE OF MAILING OR TRANSMISSION</b> (Under 37 CFR § 1.8(a)) I hereby certify that this correspondence is being: <input type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450; or <input type="checkbox"/> transmitted by facsimile on the date shown below to the USPTO at (703) _____. <b>July 28, 2005</b> Date  Signature <b>Sherry Smith</b> Printed Name	<input checked="" type="checkbox"/> <b>General Power of Attorney (SB80)</b> <input checked="" type="checkbox"/> <b>37 CFR 3.73(b) Statement</b> <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input checked="" type="checkbox"/> <b>Return Receipt Postcard</b> <input checked="" type="checkbox"/> <b>Other Enclosure(s) (please identify below):</b> <input checked="" type="checkbox"/> <b>A copy of the Patent Assignment (4 pages)</b> <input type="checkbox"/> <b>Copy of this Transmittal form</b> <input type="checkbox"/> <input type="checkbox"/>
Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required, or credit any overpayments, to Deposit Account No. 50-0463 for the above identified patent application.		

SIGNATURE OF ATTORNEY OR AGENT			
Signature		Reg. No.	38,222
Name of Attorney or Agent		David S. Lee	
Date	July 28, 2005	Tel.	(425) 703-8092
		Facsimile No.	(425) 708-5046
Assignee Name:		MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052	
Customer Number:		22971	

# POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

22971

OR

☐ Practitioner(s) named below (If more than ten patent practitioners are to be named, then a customer number must be used)

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number:

22971


Assignee Name and Address:

MICROSOFT CORPORATION  
ONE MICROSOFT WAY  
REDMOND, WA 98052

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

## SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	12/20/2004
Name	D. Bartley Epperauer	Telephone	425-703-0645
Title	Assistant Secretary		



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Microsoft Corporation

Application No./Patent No.: 10/780,144 Filed/Issue Date: February 17, 2004

Entitled: TIERED OBJECT-RELATED TRUST DECISIONS

Microsoft Corporation

a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignments were recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_; or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee pursuant to the accompanying copy of an executed General Power of Attorney (PTO/SB/80).

July 28, 2005

Date

(425) 703-8092

Telephone number

David S. Lee

Typed or printed name

David S. Lee

Signature

Patent Attorney - Registration No. 38,222

Title

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial Number.....N/A  
Filing Date ..... Executed Concurrently Herewith  
Inventorship.....Sauve et al.  
Applicant.....Microsoft Corporation  
Attorney's Docket No. ....MS1-1952US  
MSDocket No.....307917.01  
Title: Tiered Object-Related Trust Decisions

**PATENT ASSIGNMENT**

**PARTIES TO THE ASSIGNMENT**

**Assignors:**

Aaron Sauve  
116 11th Avenue E., #305  
Seattle, WA 98102

Li-Hsin Huang  
5037 148th Avenue NE #H202  
Bellevue, WA 98007

Tony Schreiner  
18666 Redmond Way #TT2156  
Redmond, WA 98052

Jeffrey Davis  
15815 NE 96th Way, Bldg. 9, Room 2229  
Redmond, WA 98052

Tom Pipinich  
14859 NE 75th Street, Bldg. 9, Room 2564  
Redmond, WA 98052

Jonathan Gass  
13 Collinwood Gardens, Gants Hill  
Ilford, Essex, UK IG5 0AW

J. Craig Hally  
4226 205th Place NE  
Sammamish, WA 98074

**Assignee:**

Microsoft Corporation  
Corporation in the State of Washington  
One Microsoft Way  
Redmond, WA 98052

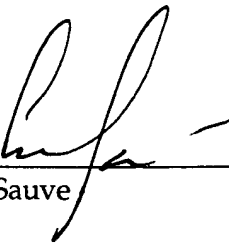
**AGREEMENT**

WHEREAS, ASSIGNORS (listed above) are inventors of an invention entitled "Tiered Object-Related Trust Decisions," as described and claimed in the specification forming part of an application for United States letters patent referenced above;


WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefore in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefore.

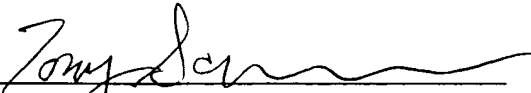
Feb. 13 / 2004  
Date

  
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Aaron Sauve

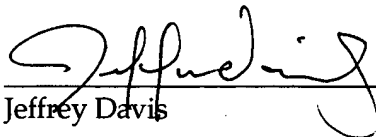
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Li-Hsin Huang

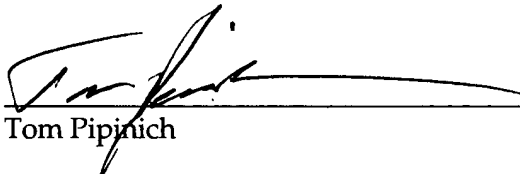
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Tony Schreiner


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Jeffrey Davis

13 Feb 2004  
Date

  
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Tom Pipinich

13 Feb 2004  
Date

  
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Jonathan Gass

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J. Craig Hally

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Jonathan Gass

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Date

2/16/2004

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J. Craig Kelly

